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Client/Matter No.
564131/0016
MWS:DLS:GSP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shinichi Sugihara

Examiner: E.M. Johnson

Application Serial No.: 09/763,394

Group: 1754

Filing Date: July 2, 2001

Title: VISIBLE-RADIATION ACTIVATING TYPE PHOTOCATALYST AND
METHOD FOR PRODUCING THE SAME

June 4, 2002

AMENDMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

This Amendment is filed in response to an Office Action mailed on March 4, 2002 (the "Office Action"). A response to the Office Action is due no later than June 4, 2002; thus, this Amendment is being timely filed. Applicant respectfully requests amendment of the above referenced application as follows:

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JUN 27 2002
TC 1700

Certificate of Mailing (37 C.F.R. 1.8)

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Typed or printed name of person signing this certificate:

Beatrice Cahn

Signature: Beatrice Cahn

Adjustment date: 07/25/2003 EEKUBAY1
06/19/2002 NMCHAMM1 00000091 194709 09763394
01 FC:103 144.00 CR
02 FC:102 168.00 CR

06/19/2002 NMCHAMM1 00000091 194709 09763394
01 FC:103 144.00 CR
02 FC:102 168.00 CR

07/25/2003 EEKUBAY1 00000013 194709 09763394
01 FC:2201 84.00 DA
02 FC:2202 72.00 DA
SSL-DOCS1 1213146v2

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Docket No.
564131/0016
MWS/DLS/GSP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1754 \$
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Applicant: **Shinichi Sugihara**

Art Unit: **1754**

Application No.: **09/763,394**

Examiner: **E.M. Johnson**

Filed: **July 2, 2001**

For: **VISIBLE-RADIATION ACTIVATING TYPE PHOTOCATALYST AND
METHOD FOR PRODUCING THE SAME**

Date: **June 4, 2002**

Commissioner for Patents
Washington, DC 20231

**CERTIFICATE OF MAILING
BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

Sir:

I hereby certify that, pursuant to 37 C.F.R. § 1.8, the following correspondence:

Fee Transmittal for FY 2002 (1 p.) in duplicate; Amendment; and acknowledgement postcard.

is being deposited on **June 4, 2002** with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Commissioner For Patents
Washington, DC 20231

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TC 1700
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PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Patent and Trademark Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 312.00

Complete if Known

Application Number 09/763,394
Filing Date July 2, 2001
First Named Inventor Shinichi Sugihara
Examiner Name E.M. Johnson
Group Art Unit 1754
Attorney Docket No. 564131/0016

COPY OF PAPER
ORIGINALLY FILED

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

19-4709

Stroock & Stroock & Lavan LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 8	X 18	= 144
Independent Claims	- 3** = 2	X 84	= 168
Multiple Dependent			

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 312.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(a))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Matthew W. Siegal

Signature

Registration No. 32,941
(Attorney/Agent)

Complete (if applicable)

Telephone (212) 806-5400

Date June 4, 2002

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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